

# Capital Expansion Program Grant Application Guide



**ACCESS THE ONLINE PORTAL** 



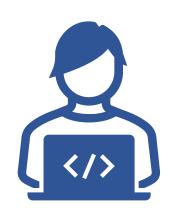
# **USING THE NEW APPLICATION PORTAL**

#### **What Has Changed**

- The new Survey Monkey Apply (SMA) has streamlined the questions in the original Lendistry portal.
- We're gathering the same information in fewer questions.
- We're asking the same questions in a clearer structure.
- The new process allows our database engineers to better interpret our data.

#### What Has Stayed the Same

- All required documents—if you have already prepared the required documents and attachments for this application, you can upload those documents in this platform.
- SMA uses the same templates and requires the same documentation outlined in the RFA as the previous platform.



## **AHP HELP DESK**

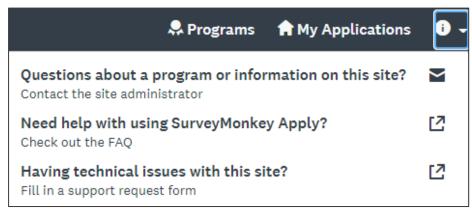
#### **SUBMIT A TICKET**

Application support including:

- Transitioning your application from the previous portal
- Connecting with the AHP technical assistance team concerning questions related to the application

# **SMA SUPPORT**

If you are encountering bugs or errors in the portal itself, contact SMA support using the drop-down options under the information icon in the



upper left. If you are unsure whom to contact, reach out to the AHP Help Desk and a technician will guide you.

# **IMPORTANT RESOURCES**

# **Important Information for Your Application**

- This section of the page is dedicated to helping guide you through portions of the application.
- Some application questions are straightforward, and the information included here will be minimal.
- Other questions, such as those related to match, bed count, capacity calculations, etc., require extra guidance. Look here for key details and links to additional resources.
- The CCE Pre-Development and CCE Capital Expansion applications have been combined into a single application.

## PRE-APPLICATION TECHNICAL ASSISTANCE

The first step in applying is scheduling a pre-application consultation from AHP. <u>Learn more here.</u>



### **ADDITIONAL RESOURCES**

CCE Capital Expansion and Pre-Development Website

**FAQ Database** 



## WHAT IS THE JOINT RFA?

CCE Capital Expansion and Pre-Development funding was originally released as part of a joint RFA between CDSS and DHCS to maximize funding potential. While DHCS Round 3: Launch Ready is closed, CCE will remain open until funds are spent. Other BHCIP and CCE funding is also available. Learn more here.

# **HOW TO: REGISTER AND BEGIN YOUR APPLICATION**

#### Registration

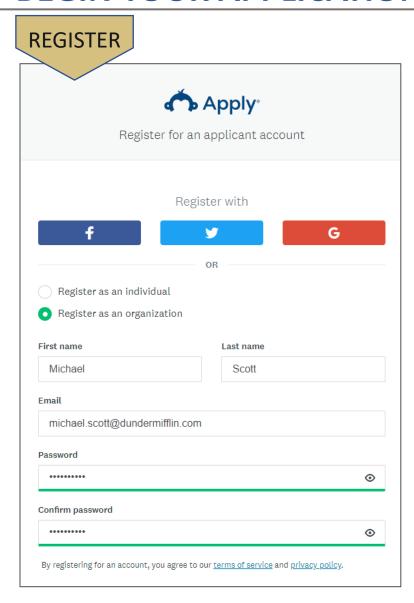
 You can choose to register as either an individual or as an organization; this is a SMA distinction that does not affect your application.

#### **Starting Your Application**

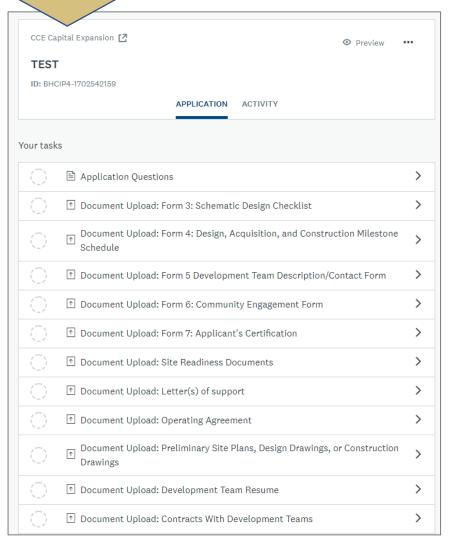
 Begin with Application Questions; how you respond will determine which documents are required.

#### **Tips for Applying**

- You may download the application as a PDF first to work through the questions with various stakeholders.
- The application questions also align with the questions in <u>Attachment A</u> of the joint RFA, although some key improvements have been made to help clarify the details applicants are asked to supply.



#### **BEGIN**



# **Section 1: Site & Agency**

#### **Select the CCE Funding Type**

- Capital Expansion projects include funding for all phases of CCE projects, including pre-development.
- Pre-Development projects have funding only for feasibility and pre-development costs.
- See <u>Section 3.4 and 3.5 of the RFA</u>, and see <u>Budget Narrative and Glossary of</u> <u>Terms</u> for examples and further definitions.

#### **Project Title and Location**

 Parcel/APN# is optional but helpful, and should be supplied if the project includes the purchase of land.

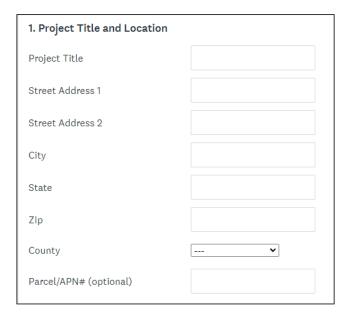
#### **Entity Type**

 Nonprofit applicants will verify their status later in the application process.

#### Which type of funding source are you applying for?

See the application guide and section 3.5 of the Joint RFA  $\,$ 

- O Capital Expansion "Full CCE"
- Pre-Development Funds Only



2. (a) What type of entity is the lead applicant?			
0	County		
0	City		
0	Tribal Entity		
0	Nonprofit Corporation (please provide evidence of nonprofit status)		
0	For-Profit Corporation		
0	Individual or Other Private Organization		
l			

You can explore which funding source is best for your project during your preapplication consultation with AHP.

Is there a co-applicant?			
<ul><li>Yes</li></ul>			
○ No			
Clear			
2. (b) If applicable, what type of entity is the co-applicant?			
○ County			
○ City			
Tribal Entity			
Nonprofit Corporation (please provide evidence of nonprofit status)			
For-Profit Corporation			
Individual or Other Private Organization			
O Not Applicable			

# Section 1: Site & Agency (cont.)

#### **Prior Related Experience**

- See <u>Section 3.1 of the Joint RFA.</u>
- Question 6 originally called for an attachment in the RFA, but applicants now have the option to add the text below the question or upload an attachment. If you have chosen to upload an attachment, please indicate that in your response.

#### **Pre-Application Consultation (PAC)**

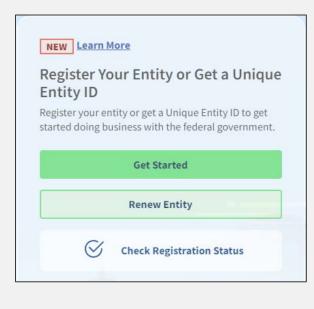
- If you haven't completed your PAC yet, you can save your application now and continue it after your PAC has been completed.
- Learn more here.
- If you have completed a PAC but have not received your code, email your implementation specialist.

5. If the applicant is a private for-profit organization that does not have prior experience, it must collaborate with a nonprofit organization, tribal entity, city, or county, and provide the following (see <a href="RFA">RFA</a> Section 3.1). Please submit the supporting document(s) when you reach the Documents tab of the application.		
If applicable, indicate whether applicant has an MOU or other agreement, or related prior experience, as stated below.		
<ul> <li>Memorandum of understanding (MOU) or other agreement with the nonprofit organization, tribal entity, city, or county to confirm the developer's role in the project, including that they are working on behalf of the service provider</li> </ul>		
<ul> <li>Related prior experience reflected in the successful development, ownership, or operation of a similar size and type of project for individuals who qualify as members of the target population</li> </ul>		
O Not applicable		
6. Describe the applicant's or developer's experience relevant to acquiring and/or rehabilitating and operating the project.		
Limit 500 words.		
7. Enter the PAC confirmation Code as well as the name of the Lead Implementation Specialist assigned to your PAC.		
Your AHP Implementation Specialist will provide you with the PAC code; contact them if you require assistance. If you haven't scheduled your PAC, you can save your application now and visit this page to learn more.		
PAC Code		
Last name of PAC Implementation Specialist		

# Section 1: Site & Agency (cont.)

#### **Lead Authorized Representative**

- This person will receive all communications from AHP concerning this application.
- For telephone numbers throughout this application, use the following format: 000-111-2222.
- The DUNS number required (as applicable) in the joint RFA has since been changed by the federal government; applicants can learn more about that change and begin the process to acquire their new Unique Entity ID here.



3. Information	
1	on about the City, County, Agency, Tribal Entity Telephone in xxx-xxxx-xxxx format.
Name of Entity	
Street Address	
City	
State	
Zip	
Email Address	
Telephone	
Website	
Lead Authorized Representative	
1	UEI/DUNS for the applicant entity; see the application guide the DUNS transition that took place in April. Enter N/A as
First Name	
Last Name	
Title	
Email Address	
Telephone Number	

Applicant Tax ID #

Unique Entity ID # (Formerly

Project Director	
Enter N/A if this person is the sa	ame as Lead Authorized Representative listed above.
First Name	
Last Name	
Agency or Tribal Entity Name	
Telephone Number	
Email Address	

. Are you also applying for BHCIP funding?		
0	Yes, I have already applied for BHCIP Launch Ready Funding	
0	Yes, I plan on applying for BHCIP Round 4: Children and Youth	
0	No	

# **Section 2: Project Info**

#### **Facility Type**

- For question 8, include only actual additional beds or units that will be created by your funded project. Do not calculate annual capacity in this section. There will be a place for annual capacity later in the application.
- Question 9 (not shown) is not required for CCE applicants.
- In question 10, for each state priority you intend to meet, provide a brief statement of how the proposed project specifically meets that state priority. Not all required questions are shown.
- See Attachment A or the application portal for full details.

	Peer Respite
	Recovery Residence/sober living homes
	Adult residential facilities (ARFs)
	Residential Care facilities for the elderly (RCFEs)
	Permanent Supportive Housing that serves the needs of seniors and adults with disabilities (including models that provide site-based care, such as Program for All Inclusive Care for the Elderly [PACE] and
0	the Assisted Living Waiver programs)  Other residential care settings that serve the target population, including recuperative care sites

	project types, enter the number of beds being expanded per facility type included ne project. Include expansion numbers only.
	Peer Respite
	Recovery Residence/sober living homes
	Adult residential facilities (ARFs)
	Residential Care facilities for the elderly (RCFEs)
	Permanent Supportive Housing that serves the needs of seniors and adults with disabilities (including
	models that provide site-based care, such as Program for All Inclusive Care for the Elderly [PACE] and the Assisted Living Waiver programs)
	Other residential care settings that serve the target population, including recuperative care sites
lf r	more than one project type, please explain below:
	nore than one project type, please explain below:
If n	
If n	ot, please put "NA"
If n	ot, please put "NA" other was checked, please explain:
If n	ot, please put "NA" other was checked, please explain:

10. Identify each of the States Priorities your project is targeting (RFA Section 1.2), and describe how the project will meet these priorities.

State Priorities	Is your project targeting this priority?	If yes, please describe how your project will meet this state priority:
nvest in behavioral health and community care options that advance racial equity	O Yes	
	O Yes	
Seek geographic equity of behavioral nealth and community care options	O No	
Address urgent gaps in the care continuum for people with behavioral nealth conditions, including seniors,	O Yes	
adults with disabilities, and children and youth	O No	
ncrease options across the life span that serve as an alternative to ncarceration, hospitalization,	O Yes	
nomelessness, and nstitutionalization	O No	
Meet the needs of vulnerable populations with the greatest parriers to access, including people	O Yes	
experiencing homelessness and ustice involvement	O No	

# **Section 2: Project Info (cont.)**

#### Information Needed

- The options in question 18 are limited to the application selection type made in question 1.
- For question 18, only whole numbers are allowed (no decimals). This is to aid in the automated match calculation used for question 25.
- Questions 12 and 13 are not required for CCE; applicants should mark as indicated in the survey to bypass the field.
- Pre-Development CCE applications have a more limited choice of purposes for which funding can be requested.
- To minimize clutter on the page, questions 19 and 20 are pop-up boxes for additional information that appear only if applicable.

11. Describe how the proposed project will expand community capacity for serving the target populations and address urgent gaps in the care continuum

Under CCE, this includes seniors and adults with disabilities who require long-term care supports, giving priority to applicants and recipients of Supplemental Security Income/State Supplementary Payment (SSI/SSP) and/or Cash Assistance Program for Immigrants (CAPI) benefits who are at risk of or experiencing homelessness.

Please include data that demonstrates the project's need. This may include, for example, a county needs assessment, a facility wait list, the number of comparable facilities in the area, or other quantifiable documentation.

(Limit 500 words.)

12. (BHCIP ONLY) Does the proposed project commit to serving applicants and recipients of Medi-Cal benefits?

O No

13. (BHCIP ONLY) Of the population that will be served by your project, what is the projected percentage of Medi-Cal beneficiaries?

	Current percentages	Projected future percentages
Medi-Cal	%	%

14. Does the proposed project commit to serving applicants and recipients of SSI/SSP or CAPI benefits?

O Yes

15. Of the population that will be served by your project, what is the projected percentage of SSI/SSP or CAPI applicants or recipients? Provide current (if applicable) and projected percentages:

	Current percentages	Projected future percentages
SSI/SSP	%	%
САРІ	%	%

16. Which of the following best describes the project's construction type? Enter values

	ootage as numbers or uld be for the project		354 sqf should be entered as 1354. Square	
101 (02.75), Tolk (1.76)		scope only	r	
Construction Type				
Total Project Square				
Footage				
7. Will the a	applicant need to pure	chase land	for the proposed project?	
O Yes				
O No				
			he current application request and include	
he funding	amount requested fo	r each pha	se:	
See Joint RFA	for additional informat	ion.		
These options	s are limited based on ye	our selection	n in question 1.	
			Funding amount requested for phase	
	E only) amount			
	amples include: • pe, budget, schedule •			
Business plan				
Development	planning amount	-	The state of the s	
requested:		0		
Acquisition an	nount requested:	0		
Rehabilitation	of existing facility for	0	The state of the s	
expansion am-	ount requested:	U.		
New construct	tion amount requested:	0		
9. Does the	facility already exist	?		
O Yes				
O No				
O NO				
20. Is the fac	cility licensed and in g	good stand	ling?	
O Yes				
O No				
			new facility or new setting being built), how ns will it serve annually?	
nany mulvio	uuats Iroin tile tärget	populació	ns will it serve annually?	
Annual Capac	rity			

Number of Beds or Units

# **Section 2: Project Info (cont.)**

#### **Question 22**

- Information for questions 21 and 22 are only for the target populations.
- The amount given for match must align with the allowable percentages as stated in <u>Section 3.3 of the</u> RFA.
- This section will calculate that percentage based on the total grant amount requested in question 18.
- See examples below question 25 for more guidance.

22. If the project is an expansion (addition existing facility or setting, how many more	renovation, or adaptive reuse) of an individuals from the target populations will
it serve than at present, and what is the pe	<b>J</b>
applicable, provide the number of beds or	units.
Annual Increase in Capacity	
Number of New Beds or Units	
Total New Beds or Units	
and the clients must be temporarily reloca	all applicable relocation plan requirements
O Yes	
Yes	
O No	
O Do Not Apply	
24. Describe the planned facility, including (Limit 500 words.)	the types of services that will be offered.
	//

25. Please identify the source(s) and amount of cash and/or in-kind contributions—
such as land or existing structures—that fulfill the match requirement. Services are
not allowed as match. (See <u>RFA</u> Section 3.3.)

Use as many of the item lines below as needed.

	Source	Amount
Item 1		
tem 2		
Item 3		
tem 4		
tem 5		

# **Example Response for Question 25:**

Source	Amount	
Land: (brief details)	300,000	
Cash; Loan: (brief details)	150,000	
Other: (brief details)	25,000	

Note how figures are entered.

# **Section 3: Site Readiness**

#### **Information Needed**

- Site control
- Building permits
- List of needed permits
- Narrative description of the proposed construction and design
- Responses to the questions on this page as well as the following page will affect which documents are required for upload in the documents section.

	Does the applicant have evidence of site control? Site control must include one of following:
0	Clear title with no encumbrances or limitations that would preclude the proposed use (fee title)
0	An existing long-tern lease with provisions to make improvements on the property
0	A leasehold estate held by a tribal entity in federal tribal trust lands property, or a valid sublease thereof that has been or will be approved by the Bureau of Indian Affairs BHCIP/CCE Joint RFA Application 9
0	A fully executed option to purchase, sales contract, or other enforceable agreement to acquire the property
0	A Letter of Intent (LOI) that outlines the terms of a sale or lease contract, providing that a fully executed option will be completed within 60 days
0	A fully executed option to lease, or similar binding commitment from the property owner to agree to a long-term lease
0	No
Que: prov	f the applicant does not have evidence of site control (e.g., answered no on stion 26), please describe the plan and timeline for obtaining site control, and vide supporting evidence and a memorandum of understanding (MOU) or enership agreement between site owner/applicant. (Limit 500 words.)
	Does the applicant have all needed local, regional, and state approvals, will-serve ers, and building permits?
0	Yes
0	No

and services certifications/licenses, including those required by the appropriate state department?  Yes  No  No  31. If the project can't be licensed/certified by the state or at the local level until it is completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As	
and services certifications/licenses, including those required by the appropriate state department?  Yes  No  No  31. If the project can't be licensed/certified by the state or at the local level until it is completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of	
and services certifications/licenses, including those required by the appropriate state department?  Yes  No  No  31. If the project can't be licensed/certified by the state or at the local level until it is completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of	
and services certifications/licenses, including those required by the appropriate state department?  Yes  No  No  31. If the project can't be licensed/certified by the state or at the local level until it is completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of	
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O No  31. If the project can't be licensed/certified by the state or at the local level until it is completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of	30. Does the applicant have documentation of all required behavioral health facilities and services certifications/licenses, including those required by the appropriate state department?
31. If the project can't be licensed/certified by the state or at the local level until it is completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of	O Yes
completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of	O No
	completed (e.g.; if answered "No" on Question 30), please list the relevant licensing/certification timelines and requirements. (Limit 500 words.) Please note: As part of the technical assistance that will be made available, applicants will be guided through the licensure and certification process to prepare them for the possibility of

# **Section 3: Site Readiness (cont.)**

# **Construction Documents, Design Drawings, and More**

- Which documents are required will depend on the type of funding you are pursuing. See the document section of this guide for more details.
- Question 32 is an opportunity to summarize the overall project, including square footage; describe the site location; and discuss project start and end dates and any licensing or permitting assistance required.

32. Please provide a detailed narrative description of the proposed project's construction and design, including how the design will serve the target population(s).

Please describe any preliminary site plans, design drawings, and/or construction plans for the proposed project. This may include cost estimates with valid budgetary numbers from an architect, engineer, or licensed general contractor. If no construction plan is yet in place, please submit a valid Rough Order of Magnitude (ROM) cost estimate from an architect, engineer, or licensed general contractor.

Please include a description of site amenities (examples: community and common areas, laundry, gated access, security, recreational areas, pool, community garden, etc.) and sustainable and green building elements.

Please describe any site mitigation requirements and complex or costly structural or site/topographical requirements. The narrative should also include an explanation of any required demolition and off-site improvements, as well as a detailed construction breakdown of these expenses. (Limit 1500 words)

- 33. Please upload the following documents:
- a. A completed schematic design checklist BHCIP/CCE Joint RFA Application 11
- b. Any preliminary site plans, design drawings, or construction drawings for the proposed project—these may include schematic designs, architectural drawings, construction blueprints, and/or other renderings (please limit each file size to less than 20 MB)
- c. Resumes of the development team that developed the design/construction plans
- d. A copy of all executed contracts for hire related to your project's development team (lawyer, construction manager, development manager, architect, consultants, contractor, etc.)

If you do not have one or more of the requested documents available, please share your timeline for completing them in the box below. Otherwise, enter "NA"

34. Please fill out and upload the schedule for design, acquisition of the property, and/or development or rehabilitation. Design, acquisition, or development/rehabilitation should begin within 6 months of funding award, subject to achieving necessary permits and approvals.

Civil Engineering	Date Started	Date Completed
Site Dimension Control		
Off-Site Utilities		
On-Site Utilities		
Grading and Drainage		
Structural Engineering	Date Started	Date Completed
Foundation Design		
Garage Design		
Building Frame		
Architectural / Interiors	Date Started	Date Completed
Building and Site Plan		
Unit Plans and Finish Program		
Amenity Space Layout		
Area Tabulations		
Roof Design		
Exterior Elevations		
Exterior Materials		

Documents that have required templates, such as excel formats, are indicated as such in the document section. Visit the joint RFA page to preview and download the templates required for this application.

# **Section 4: Community Support & Engagement**

# Information Needed

- Letters of support
- Confirmation of stakeholder engagement documentation
- A narrative of how this project will address target inequities
- A percentage breakdown of populations served
- A narrative description of how the applicant verifies those percentages

	To demonstrate support for the proposed project, please attach a letter of support none or more of the following:
	el all letters of support as follows: LOS_Project Title_Agency or Role of Author. An example d be: LOS_Sunny Acres Rehab_Kern County BH Department. Abbreviations are fine.
	County board of supervisors, county behavioral health director, or county executive
	City council
	Tribal council (i.e., tribal council resolution)
	Applicant's CEO and/or board (if applicable)
	Stakeholders or other community-based organizations
	Elected Official
the t	ains how stakeholders e.g., community-based organizations (CBOs), members of target population, residents, civic leaders, and frontline staff have been ningfully involved in the visioning and development of this project.
mee dive ecor	Describe how the project will address inequities for the target population(s) and t the needs of individuals from diverse backgrounds. Examples of types of rsity include race, religion, country of origin, language, disabilities, culture, nomic background, gender, sex, and behavioral health. The description should ude supporting evidence of the strategies' effectiveness, if available. (Limit 500 ds.)

38. For the racial and ethnic populations that will be served, provide your best estimate of the percentage of the total people of each population. (Percentages must add up to 100%. Enter "0" if the population will not be served.)

	Percent
African American/Black: %	%
Asian American/Pacific Islander: %	%
Latino/Hispanic: %	%
Native American/Alaska Native: %	%
White: %	%
Mixed race: %	%
Other: % (please specify below and limit your response to a paragraph)	%
Total	0
	cted percentages reflect the community you successful utilization? Please include any da

# **Document Upload and Verification**

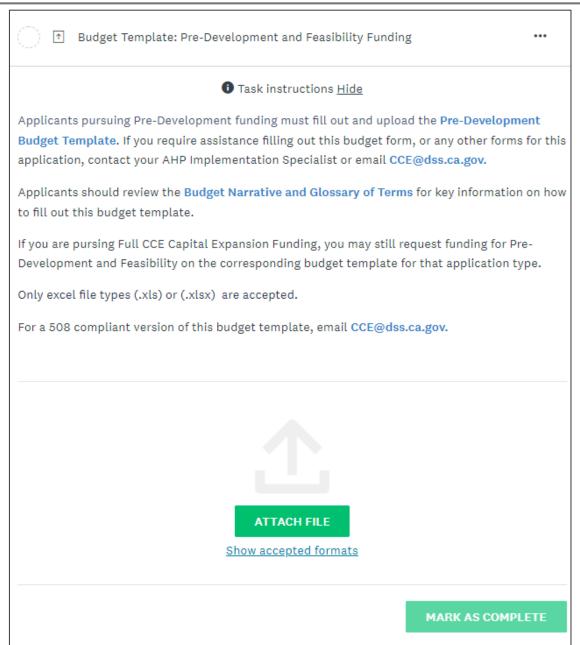
#### **Nonprofit Entities**

 Please enter your EIN number confirming tax-exempt or charity status in the section shown under this heading.



#### **Uploading Documents**

- Review the upload instructions for links to any required templates or forms, as well as instructions on acceptable formats
- Mark as complete to submit your document.



# **Document Upload and Verification (cont.)**

#### What Documents are Required?

- Upload required documentation as determined by the answers to project information questions.
- If you have documents from a previous version of this application, those documents can be uploaded to this platform.

#### **Need Assistance?**

- AHP provides technical assistance for applicants.
- Applicants are encouraged to contact their implementation specialist (IS) for guidance on any required documentation.
- Applicants can email their specialist directly, or email bhcip.cce.info@ahpnet.com.

# Required Documents as stated in RFA Attachment A: Application Questions

REQUIRED DOCUMENTS
40. Please indicate which of the following required documents you have completed and/or uploaded as part of your application.
□ Budget
☐ Schematic design checklist (see question 33)
☐ Design/acquisition/construction milestone schedule (see question 34)
☐ Development team description/contact form (see question 6)
☐ Community engagement form (see question 36)
☐ Applicant's certification
As applicable:
☐ Collaboration documents (see question 5)
☐ Documents of incorporation (see question 2)
☐ Site readiness documents (see question 26)
☐ Plan to obtain site control (see question 27)
☐ Letter of support (see question 36)
☐ Operating agreement (see question 36)

# **Application Submittal**

#### **Action Needed**

- Once all required items are completed, click Submit to finalize and submit the application.
- You are invited to download a copy of your application for reference.
- Notices about your application will be sent from this platform.

